



Attorney Docket No. 459535-1/12093 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: James W. RULE

Serial No: 09/828,158

Art Unit: 2176

Filed: April 9, 2001

Examiner: Unknown

Title: SYSTEM AND METHODOLOGY FOR THE
STORAGE AND MANIPULATION OF DOCUMENTS

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Prior to examination, please amend the above-identified application as follows:

IN THE DRAWINGS:

In response to the Notice to File Corrected Application Papers, please substitute the enclosed FIGURES 1, 2A and 2B having the correct margins for the drawings originally filed.

IN THE SPECIFICATION:

Please amend the specification as follows:

Page 15, line 13, after "database services" delete "264" and substitute therefore --266--.

Page 15, line 14, after "image services" delete "266" and substitute therefore --268--.

REMARKS

Substitute FIGURES 1, 2A and 2B are submitted herewith, corrected as per the Notice to File Corrected Application Papers dated May 15, 2001. Applicant has corrected the margin

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informalities noted by the Examiner and attended to same. Applicant has also corrected minor typographical errors in FIGURE 1, i.e., added a reference number and lead line for the email import 129 (page 9, lines 9-10), and FIGURE 2B, i.e., amended the reference designators for database services and image services to numbers 266 and 268, respectively, correcting an inadvertent double numbering. The Specification at page 15, lines 13-14 is also being amended to accord with the modification to FIGURE 2B.

Applicant also submits herewith a Request and Certification under 35 U.S.C. § 122(b)(2)(B)(i). In view of Applicant's intention to not file in another country, Applicant respectfully requests that the subject application not be published at eighteen months after filing and remain confidential.

Also enclosed is a Declaration and Power of Attorney document signed by the inventor.

Respectfully submitted,

Date: June 20, 2001

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With further reference to FIGURE 2B, there is illustrated a preferred function configuration, designated generally by the reference numeral 250. A cache controller 252 and a capture node 254 interface with an API 256, which, in turn, communicates with a web server 258, e.g., a Microsoft Transaction Server (MTS). Alternatively, a Java Viewer 260 may interface with an application server 262. Both the web server 258 and the application server 262 communicate, via a backend program 264, to a database services 266 and an image services 268, as discussed in more detail hereinabove in connection with

FIGURE 2A.